

## TRANSMITTAL FORM FOR FILING PATENT APPLICATION

Attorney  
Docket No.: P4421WEINGARTEN, SCHURGIN, GAGNEBIN & HAYES LLP  
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09/517410  
03/02/00

Express Mail No: EL231112339US

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Date: March 2, 2000

First Named Inventor or Application  
Identifier: Stephen R. Hanna, et al.

Sir:

Transmitted herewith under 37 CFR § 1.53 for filing is the patent application of:

Inventors: Stephen R. Hanna, Radia J. Perlman

Entitled: METHOD AND APPARATUS FOR USING NON-SECURE FILE SERVERS FOR SECURE  
INFORMATION STORAGE

[ ] This is a request for filing a [ ] **continuation** [ ] **divisional** [ ] **continuation**  
**in-part** application under §1.53(b) of prior Application No. \_\_\_\_\_, filed  
\_\_\_\_\_ entitled:

Enclosed are:

- [X] 41 pages of written description, claims and Abstract, inclusive
- [X] 6 sheets of [ ] informal [X] formal drawings of Figs. 1-10d (one set)
- [X] Oath or Declaration
- [X] Newly executed (original)
- [ ] Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional).
- The entire disclosure of the prior application, from which a copy of the oath  
        or declaration is supplied, is considered as being part of the disclosure of  
        the accompanying application and is hereby incorporated by reference therein.
- [ ] To be filed later
- [X] Cover sheet and Assignment of the invention to: Sun Microsystems, Inc.
- [ ] Certified copy of a \_\_\_\_\_ application (if foreign priority is  
claimed) with letter claiming priority under Rule 55.
- [ ] Information Disclosure Statement with \_\_\_ citations
- [ ] Preliminary amendment is enclosed.
- [X] Return receipt postcard
- [ ] Other:

Express Mail No.

EL231112339US

**TRANSMITTAL FORM FOR FILING PATENT APPLICATION (CONTINUED)**

Attorney

Docket No.: P4421

- ☐ Verified statement of Small Entity status (\$1.9 and \$1.27)
- ☐ Verified statement of Small Entity was filed in prior application. Status still proper and desired
- ☐ Priority is claimed under 35 USC § 120 as indicated on the attached sheet 4.
- ☐ Priority is claimed under 35 USC §119(a)-(d) as indicated on the attached sheet 4.
- ☐ Priority is claimed under 35 USC §119 (e) as indicated on the attached sheet 4.
- ☐ \_\_\_\_\_ is hereby appointed Associate Attorney by:  
Registration No.:

\_\_\_\_\_  
Attorney of Record

Registration No.:

- ☐ **Power of Attorney** in the originally-filed application has been granted to one or more of the registered attorneys listed below. The attorneys listed below not previously granted power in the originally-filed application, as well as \_\_\_\_\_, are hereby given associate power:

Registration No.:

Stanley M. Schurgin, Reg. No. 20,979  
 Charles L. Gagnebin III, Reg. No. 25,467  
 Paul J. Hayes, Reg. No. 28,307  
 Victor B. Lebovici, Reg. No. 30,864

Eugene A. Feher, Reg. No. 33,171  
 Beverly E. Hjorth, Reg. No. 32,033  
 Holliday C. Heine, Reg. No. 34,346  
 Gordon R. Moriarty, Reg. No. 38,973

- ☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee.

- ☐ Add in this application claims \_\_\_\_\_ per amendment before calculating fee.

CLAIMS FILED:	MINUS BASE:	EXTRA CLAIMS:	RATE:	BASIC FEE:
				\$690.00
Independent	8 - 3	= 5	x \$78.00 =	390.00
Total	37 - 20	= 17	x \$18.00 =	306.00
<input type="checkbox"/> Multiple Dependent Claims (1st presentation)			+ \$260.00 =	0
<b>SUBTOTAL FILING FEE</b>				1,386.00
Small Entity filing, divide by 2. (Note: verified statement must be attached per \$1.9, \$1.27, \$1.28.)				0
<b>TOTAL Filing Fee</b>				\$1,386.00


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**TRANSMITTAL FOR FILING PATENT APPLICATION (CONTINUED)**

- [X] The filing fee has been calculated above; a check in the amount of \$1,386.00 is enclosed.
- [ ] The filing fee will be submitted at a later date.
- [X] In the event a Petition for Extension of Time under 37 CFR \$1.17 is required by this paper and not otherwise provided, such Petition is hereby made and authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of such extension.
- [X] The Commissioner is hereby authorized to charge payment of any additional filing fees under 37 CFR \$1.16 associated with this communication or credit any overpayment to Deposit Account No. 23-0804.

Address all future communications to:

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\_\_\_\_\_  
Attorney of Record: Victor B. Lebovici  
Registration No. 30,864

**TRANSMITTAL FOR FILING PATENT APPLICATION (CONTINUED)**

☐ Priority is claimed under 35 USC § 120 of prior Application(s)  
No. \_\_\_\_\_, filed \_\_\_\_\_, entitled:

☐ The above-identified application(s) is/are assigned of record to:

☐ Priority is claimed under 35 USC § 119 (a)-(d) of the following application(s).

\_\_\_\_\_  
(Application Number) (Country) (Filing Date)

\_\_\_\_\_  
(Application Number) (Country) (Filing Date)

\_\_\_\_\_  
(Application Number) (Country) (Filing Date)

☐ The above-identified application(s) is/are assigned of record to:

☐ Priority is claimed under 35 USC § 119 (e) of the following provisional application(s).

\_\_\_\_\_  
(Application Number) (Filing Date)

\_\_\_\_\_  
(Application Number) (Filing Date)

\_\_\_\_\_  
(Application Number) (Filing Date)

☐ The above-identified provisional application(s) is/are assigned of record to:

☐ The claim of small entity status in the above-identified provisional application(s) is made in this application and a copy of the small entity form(s) from the provisional application(s) is/are enclosed.

SUBMIT IN TRIPLICATE  
220511